



2874-8

CERTIFICATE OF MAIL (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on April 4, 2003.

Signed: Kenneth R. Graham  
Kenneth R. Graham

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
David M. Rossi

Serial No. 09/880,980

Filed: June 13, 2001

For: *Thermally and Mechanically Stable  
Low-Cost High Thermal Conductivity  
Structure for Single-Mode Fiber  
Coupling to Laser Diode*

Examiner: Rojas, Omar R.

Group Art Unit: 2874

Date: April 4, 2003

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**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

In response to the Notice to Office Action mailed November 6, 2002, transmitted herewith  
are:

- ☒ Amendment Fee Calculation Sheet
- ☒ Petition for Two Month Extension of Time ;
- ☒ Amendment
- ☒ Check in the amount of 247.00 (\$42.00 extra claim fee; 205.00 Extension of Time fee);
- ☒ and our prepaid return postcard.

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-2319 (Account No. A-69888/RMA/KRG(469159-3)).

Respectfully submitted,

Kenneth R. Graham  
Kenneth R. Graham, Reg. No. 46,737 for  
R. Michael Ananian, Reg. No. 35,050

Dorsey & Whitney LLP  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (650) 494-8700  
Facsimile: (650) 494-8771

1052147



**AMENDMENT  
FEE CALCULATION  
2003**

**Complete if Known**

Application No.	09/880,980
Filing Date	June 13, 2001
First Named Inventor	David M. Rossi
Group Art Unit	2874
Examiner Name	Rojas, Omar R.
Atty. Docket Number	A-69888/RMA/KRG (469159-3)

**Claims as Amended in Response to Office Action dated:** November 6, 2002

METHOD OF PAYMENT (Check One)		AMENDMENT FEE CALCULATION (Continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: 50-2319 Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u> <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)		<b>3. ADDITIONAL FEES</b>			
2. <input checked="" type="checkbox"/> Check Enclosed		<b>Large Entity Fee</b>	<b>Small Entity Fee</b>	<b>Fee Description</b>	<b>Fee Paid</b>
		110	55	Extension for reply within first month	
		410	205	Extension for reply within second month	205.00
		930	465	Extension for reply within third month	
		1,450	725	Extension for reply within fourth month	
		1,970	985	Extension for reply within fifth month	
		320	160	Notice of Appeal	
		320	160	Filing a brief in support of an appeal	
		280	140	Request for oral hearing	
		110	55	Terminal Disclaimer Fee	
		110	55	Petition to revive – unavoidable	
		1,300	650	Petition to revive – unintentional	
		1,300	650	Utility/Reissue issue fee (inc. advance copies)	
		130	130	Petitions to the Commissioner	
		180	180	Submission of IDS	
		750	375	Request for Continued Examination (RCE)	
		Other fee (specify):			
		<b>Subtotal (2)</b>			
		<b>Total Amount of Payment</b>			

  

1. EXTRA* CLAIM FEES				
Claims Remaining after Amendment	Highest Number Previously Paid for	Present Extra	Fee from Below*	Additional Fee
Total 63	- 63	= 0	x	= 0.00
Indep. 6	- 5	= 1	x 42	= 42.00
First Presentation of Multiple Dependent Claim				x =
<b>Subtotal (1)</b>				<b>42.00</b>

  

*Calculation of Extra Claim Fees		
Large Entity Fee	Small Entity Fee	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent Claim
84	42	Reissue independent claims over original patent
18	9	Reissue claims in excess of 20 and over original patent

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**Submitted by:**

Name: Kenneth R. Graham <i>Kenneth R. Graham</i> DORSEY & WHITNEY LLP	Reg. No.: 46,737 Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187	Telephone: 415-781-1989 <b>CUSTOMER NUMBER 32940</b> Date: April 4, 2003
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